

DEFENSE SECURITY ASSISTANCE AGENCY

WASHINGTON, D. C. 20301

1 March 1978

In reply refer to: Transmittal No. 18 DOD 5105.38-M

MEMORANDUM FOR RECIPIENTS OF DOD 5105.38-M, MILITARY ASSISTANCE AND SALES MANUAL - PARTS I, II, AND III

SUBJECT: MASM I, II, and III Transmittal

Attached is MASM Transmittal No. 18 which updates the procedures to 1 March 1978.

Highlights of this transmittal include chapters on (Part I) Military Articles and Services List (MASL) Guidance; (Part II) Construction; Program Submission and Management; (Part III) Management of the Ceiling on Weapons and Weapons Related Items; and Major Defense Equipment List (MDEL).

Update the portions of your current MASM in accordance with the List of Changes. Specific changes are indicated by a broken line in the margin of the chapter.

This transmittal supersedes the following correspondence/messages:

Messages

SecDef 3812, DTG 190052Z Jan 78, subject: MASM, Part II, (Chapter D) Construction and (Chapter F) Program Submission and Management.

Correspondence

DSAA Memorandum I-1433/77 dated 5 January 1978, subject: MASM Part III, Chapter M, Management of the Ceiling on Weapons and Weapons Related Items.

DSAA Memorandum I-130/78 dated 16 January 1978, subject: MASM Part III, Appendix D, Major Defense Equipment List (MDEL).

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H. M. FISH Lieutenant General, USAF Director, Defense Security Assistance Agency

Attachments (1) List of Changes (2) MASM Update Materiel

LIST OF CHANGES

Remove and insert the following portions of your current MASM:

Remove	Insert
List of Effective Pages	List of Effectives
Table of Contents -	Table of Contents -
pages xi – xix	pages xi - xviii
PART I -	PART I -
	Chapter H
PART II -	<u>PART II</u> -
Chapter L	(This chapter is being replaced by Chapter H, Part I)
PART III -	PART III -
Appendix C	Chapter M Appendic C

In addition to the above, post the following pen and ink changes:

Part II -

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(1) <u>Chapter D</u> - <u>Pages D-9</u> - <u>D-10</u> - pare 5.b.(1)(b) - eliminate in its entirety. Para 5.b.(1)(c) - change to para 5.b.(1)(b) and change at top of para the words "If the change involves ... Government costs line, DSAA" to read "If the change involves a cost increase for a contract construction or A-E service line, DSAA". Para 5.b.(2) - 11th and 12th line from bottom of para - eliminate the words "of \$25,000 or more in the cost of the program line". <u>Page D-11</u> - para 5.b.(2)(b)1. eliminate in its entirety; add a "," after "increase" on the 3rd line from top of para; and eliminate the words "of \$25,000 or more in the cost of the program line,".

(2) <u>Chapter F - Page F-10</u> - para 3.g.(1)(a) - 5th line from top of para - eliminate the words "If the change in unit price would cause the value of the program line to increase by more than \$25,000,"; capitablize "the" following these words; and eliminate last sentence in para. <u>Page F-11</u> - para 3.g.(1)(c)2. - eliminate in its entirety. Para 3.g.(1)(c)3. - change to read 3.g.(1)(c)2. and eliminate the words "by more than \$25,000" on the 4th line from top of para.

LIST OF EFFECTIVE PAGES

Chapters	LIST OF EFFECTIVE PAGES Page Numbers	Date of Latest Revision
Table of Contents	• • xi through xviii • • • • •	1 March 19.
PART I-GENERAL INFORMATION		
Glossary of Terms and Abbreviations DOD Directives and Instructions	· -	1 October 1977 1 February 1978
A	A-1 and A-2 B-1 and B-3 C-1 through C-3 D-1 through D-11 E-1 and E-2 F-1 through F-3 G-1 through G-5 H-1 through H-24	15 December 1977 1 February 1978 15 December 1977 15 December 1977 15 December 1977 15 December 1977 15 January 1977 1 March 1978
PART II⊢GRANT AID		
A	 A-1 through A-4 B-1 through B-3 C-1 through C-28 D-1 through D-15 E-1 through E-48 App 1E-1 through App 1E-7 F-1 through F-22 G-1 through G-24 H-1 through H-4 J-1 through J-23 K-1 through K-6 L-1 through L-27 App A-1 through App A-24 	<pre>15 December 1977 Deleted 1 February 1978 1 March 1978 1 October 1977 1 March 1978 15 December 1977 15 December 1977 1 December 1975 15 December 1977 Deleted 1 October 1976</pre>
PART III-FOREIGN MILITARY SAI	5	
A	 A-1 through A-8 B-1 through B-5 C-1 through C-17 D-1 through D-42 E-1 through E-10 F-1 through F-11 G-1 through G-17 H-1 through H-4 J-1 through J-6 K-1 through K-17 L-1 through L-23 M-1 through M-9 App A-1 through App A-18 App B-1 through App C-3 App D-1 through App D-12 	 February 1978 October 1977 February 1978 February 1978 July 1974 February 1978 February 1977 March 1978 January 1977 March 1978 June 1977

ā

CONTENTS

Cha	pter	Page Number
LET	TER OF TRANSMITTAL	iii
FOR	EWARD	v
TAB	BLE OF CONTENTS	xi -
	PART I-GENERAL INFORMATION	
GLO	SSARY OF TERMS AND ABBREVIATIONS	
DOD	DIRECTIVES AND INSTRUCTIONS	
Α.	PURPOSE, AUTHORITY AND SCOPE	A-1
7		
	 Purpose of Security Assistance Nature of Security Assistance Legislative Authority and Constraints Leases Distribution of the MASM Reports Control Symbol 	A-1 A-1 A-2 A-2 A-2 A-2
Β.	RESPONSIBILITIES AND RELATIONSHIPS	B-1
	 Executive Branch Department of Defense Relationships 	B-1 B-1 B-3
С.	POLICY	C-1
	 Purpose and Scope Administration Planning Economic Considerations Emphasis on Sales Personnel Excess Defense Articles Commitments Riot Control Agents 	C-1 C-1 C-1 C-2 C-2 C-2 C-2 C-3 C-3
D.	PLANNING	D-1
	 Military Security Assistance Projection (MSAP) Foreign Country Participation Coordination at Country Level Continuous Appraisal Coordination Projection Period Planning Cycle Preparation of the MSAP 	D-1 D-2 D-2 D-2 D-2 D-2 D-2 D-2 D-2 D-5

Chapter	Page Number	
E. SHIP TRANSFERS	E-1	
 Purpose General Policy Sale Loan Lease Transfer Plan Development Approval Procedures 	E-1 E-1 E-1 E-1 E-1 E-1 E-2	
F. AUDITS AND INSPECTIONS	F-1	
 Purpose Types of Audits/Inspections Notification of Audits and Inspections Policy Concerning Releasibility to DAO of DOD Records Relating to MAP, MASF and FMS Relationship with Audit/Inspection Agency Exit Conference Action on GAO Audit Draft Reports Action on DAS Reports Action on IGA Reports Corrective Action Follow-on 	F-1 F-1 F-1 F-2 F-2 F-2 F-2 F-2 F-3 F-3 F-3 F-3	
G. SECURITY CLASSIFICATION AND RELEASE OF INFORMATION	G-1	
 Purpose and Scope Security Classification Guidelines RCS: DSAA(AR) 1100 FMS Report Submissions Release of Information to Foreign Governments, International Organizations, and the Public 	G-1 G-1 G-3 G-4	
H. MILITARY ARTICLES AND SERVICES LIST (MASL) GUIDANCE	H-1	
 General MASL Content Definitization Policy DSAA MASL Files Assignment of Footnote Codes MASL Relationship to DSAA Program Data Files Maintenance of the MASL Submission of MASL Data 	H-1 H-2 H-2 H-3 H-3 H-4 H-4	
PART II-GRANT AID		
A. ELIGIBILITY	A-1	
 General Authority Conditions of Eligibility General Limitations 	A-1 A-1 A-2	

3. General LimitationsA-24. Prohibitions Against Furnishing AssistanceA-2

 \cap

Chapte	37°	Page Number
C. A	RTICLES AND SERVICES	C-1
1 2		C-1 C-1
3	MAP Element Descriptive Data	C-1
4		C-4
5	• Programming Guidance and Procedures • • • • • • • • • • • •	C-8
6		C-15
7		C-16
8	。 NATO Standardization Agreement on Supply Forms	C-25
D. C	ONSTRUCTION	D-1
1	. General	D-1
2	. Responsibilities	D-2
3	• Planning and Programming • • • • • • • • • • • • • • • • • • •	D-5
4	. Approval and Funding	D-8
5		D-9
6	. Reporting	D-12
Ε. Μ	ILITARY EDUCATION AND TRAINING	E-1
1	• Purpose • • • • • • • • • • • • • • • • • • •	E-1
2	. Objectives	E-1
3	. Areas of Emphasis	E-1
4	. Resources Management	E-1
5		E-2
6		E-3
7	Constraints	E-3 E-4
8 9		E-4 E-5
10	Mobile Training Teams (MTT)	E-12
11	Field Training Services	E-13
12	Extraordinary Expenses	E-14
13		E-14
14	5 11	E-14
15	Programming	E-15
16	. Annual Training Report DIX 1Chapter EFORMAT FOR INVITATIONAL TRAVEL ORDERS	E-22
	(ITO) FOR FORMAL SCHOOLING, OJT, FAMIL- IARIZATION/QUALIFICATION AND OBSERVER	
		App 1E-1
		Npp TE-T
F. P	ROGRAM SUBMISSION AND MANAGEMENT	F-1
1	• Purpose • • • • • • • • • • • • • • • • • • •	F-1
2		F-1
3		F-7
4		F-15
5		F-17
6		F-19
7	Instructions	F-19 F-19

1

L -

Chapter Page Number G. MAP ADMINISTRATION AND SUPPORT COSTS G-1 1. G-1 2. G-1 Military Assistance Program Semi-annual Review Cycle . 3. G-1 Development and Submission of Budget Estimates G-2 4. 5. General Instructions for Completion of Formats G-2 Instructions Applicable for Completion of Formats for 6. September Financial Plan/Budget Review G-2a 7。 Instructions Applicable to Formats for March G-9 Η. H-1 H-1 1. 2. H-1H-1 3. 4. H-1 5. H-2 H-2 6. H-2 7. H-2 8. 9. H-3 H-3 10. 11. H-3 12. Continuing Resolution Authority H-4 J. J-1 1. J-1 2。 Structure of the MAP Data Base J-1 J-1 3. J-1 4. 5. J-2 J-5 6. UTILIZATION, REDISTRIBUTION AND DISPOSAL OF MAP MATERIEL . Κ. K-1 1. K-1 2。 K-1 3. Reporting and Screening MAP Materiel Declared Excess . K-2 K-3 4. 5. K-4 Disposal of MAP Property K-4 6. Reporting MAP Property Redistribution and Disposal . . 7. K-6 APPENDIX A -- MACHINE READABLE CODES App A-1

PART III-FOREIGN MILITARY SALES

•

 $\overline{\gamma}$

~**⊋** ≥

	PART III-FOREIGN MILITARY SALES	.:
Chapter		Page Number
A. ELI	GIBILITY FOR FOREIGN MILITARY SALES	A-1
3.	General Authority	A-1 A-1 A-1 A-1
B. FOR	EIGN MILITARY SALES POLICIES, GUIDELINES AND RESTRICTIONS	B-1
1. 2. 3.	Purpose	B-1 B-1 B-3
C. GEN	ERAL PROCEDURES	C-1
1. 2. 3. 4. 5. 6. 7. 8. 9. 10. 11. 12. 13. 14. 15. 16.	<pre>Introduction Buyer-Seller Relationship Purpose for Sale of U.S. Military Equipment Abroad General Criteria Regarding Sale of Military Equipment Channels Used in the Sale of Military Equipment Basic FMS Sales Procedures Furnishing Information on Price, Availability and Con- dition of Military Equipment to Foreign Governments Pricing of FMS Transactions Authorized Surcharges Diversion of DOD Materiel Insurance U.S. Response to Requests for "Offset" Procurement Release of U.S. Technical Data Principles Regarding Coproduction Projects Processing Letters of Offer for \$25 Million or More or For Major Defense Equipment of \$7 Million or More</pre>	C-1 C-1 C-2 C-2 C-3 C-4 C-6 C-7 C-8 C-9 C-9 C-9 C-10 C-13 C-15 C-16
17。 18。 19. 20. 21.	More Sales Commissions and Fees Engineering Review of U.S. Provided Foreign Communi- cations Systems Patent Rights DOD P&A Versus a Commercial Proposal Translation Services	C-16 C-16b C-17 C-17 C-17 C-17
D. PRE A	PARATION AND PROCESSING OF FOREIGN MILITARY SALES TRANS- CTIONS	D-1
1. 2. 3. 4.	Introduction DD Form 1513Offer and Acceptance Processing Requests for Letters of Offer and Acceptance Duration of Letters of Offer	D-1 D-1 D-1 D-2

Chapter

Page Number

	6. Supplemental Information for Letters of Offer	D-2 D-3 D-7
	Offer	D-7 D-8
	Acceptance	D-9 D-10 D-10
Ε.		E-1
	 Policy Guidance Types of Credit Financial Standards and Criteria Treasury Guidelines Treasury Guidelines Eximbank Credit Procedures FMS Credit Procedures DOD - Guaranteed Credit Procedures Treasury Advertised Procedures Credit Approval Procedures Industry/Banking Coordination 	E-1 E-1 E-2 E-3 E-4 E-5 E-6 E-7 E-8 E-9 E-10
F.	IMPLEMENTATION OF FMS	-1
	 Policies Types of Foreign Military Sales Requisition Procedures Management Review Delivery Performance Supply Management Packaging and Handling Transportation and Traffic Management Customer Service Suspensions/Cancellations 	F-1 F-1 F-4 F-5 F-5a F-5a F-5a F-7 F-10a F-10b F-10b
G.	FINANCIAL PROCEDURES	G-1
	 Basic Principles Terms of Sale; Type of Assistance Codes 	G-1 G-1 G-2
		G-5 G-7
		G-7

Chapter

 γ

-.,

 $Page \ Number$

	7.	Commercial Contracts Financed by Guaranty of Private	
	8.	Credit	G-8
	0.	ments Executed Prior to April 1972	G-9
	9.	Closing Foreign Military Sales Cases Financed Under	0 10
	10.	Credit Agreement Executed Prior to April 1972 Multiple Sources of Financing	G-10 G-11
	11.	Overdue Billings and Delinquent Accounts	Ğ-12
	12.	Billing for Payments of Principal and Interest for the	a 10
	13.	DOD Direct Credit Program	G- 13
	10.	DSAA and From Private Guaranty Credit Funds	G-13
	14.		G-13
	15.	Addresses of Military Departments Central Collection and Billing Offices	G-14
	16.		u-14
		Responsible for Preparation and Issuance of Letters	
		of Offer	G-14
Η.	FOR	EIGN MILITARY SALES - COMMERCIAL AVAILABILITY	H-1
	۱.	Purpose	H-1
•	2.	Legislative Provisions	Н-1
	3.	Department of Defense Policy	H-1
	4。 5.	Guidelines for Determining Commercial Availability	H-1 H-2
•	6.	Special Exception - Federal Republic of Germany	H-3
	7.	Procedures for Processing Requests for Sale or for	
	8.	Pricing and Availability Data	H-3 H-4
_		•	
J.	FORE	EIGN MILITARY SALES TRAINING (FMST)	J-1
	1.	Purpose and Scope	J-1
	2.	General Guidance	J-1
	3. '4.	English Language Training	_J=3 J-3
	5.	Cancellation of TrainingPenalty Charge	J-4
	6.	Training at Civilian Institutions	J-4
	7. 8.	Transportation and Travel	J-4 J-4
	o. 9.	Training of Civilian Under FMS	J-4 J-5
	10.	FMS Orientation Training Courses and Visits	J-5
	11.	Mobile Training Teams (MTTs), and Field Training	1 5
	12.	Services (FTS)	J-5 J-5
	13.	Restrictions on Tranfer of Training	J-6
	14.	Intelligence Training	J-6

Chapter

Page Number

K. FOREIGN MILITARY SALES REPORTING SYSTEM	K-1
 Purpose Concept Reporting Frequency Master File Update Major Features Preparation of FMS Data Cards Control Fields Changing Field to Blank Increase or Decrease of Numbers Inter-Relationship of Card Fields Card Processing FMS Administrative Surcharges Special Characters 	K-1 K-2 K-2 K-2a K-12 K-12 K-13 K-13 K-14
L. FMS REPORTS	L-1
 Purpose Source of FMS Data Categories of FMS Reports Requests for FMS Reports FMS Reports Abbreviated Titles 	L-1 L-1 L-2
M. MANAGEMENT OF THE CEILING ON WEAPONS AND WEAPONS RELATED ITEMS	M- 1
 Purpose Policy Guidance Description of the Ceiling Allocation Accounting System Description of the Allocation Accounting System Estimated Ceiling Activity Monthly Report 	M-1 M-2
APPENDIX A - MACHINE READABLE CODES	
APPENDIX B - PROCEDURES FOR PROCESSING FMS LETTERS OF OFFER WHICH MUST BE REPORTED TO CONGRESS	App B-1
APPENDIX C - MAJOR DEFENSE EQUIPMENT LIST	App C-1
APPENDIX D - FINANCIAL ANNEXES TO LETTERS OF OFFER	App D-1

CHAPTER H

MILITARY ARTICLES AND SERVICES LIST (MASL) GUIDANCE

1. General

a. The MASL provides availability information for planning and programming resources (materiel, services, and training) under the Military Assistance Program (MAP) and International Military Education and Training Program (IMETP) and the structure for ADP accounting and reporting for MAP, IMETP and the Foreign Military Sales (FMS) program. It is oriented toward the needs of program originators and contains information provided to DSAA by supplying agencies on identification and availability of defense articles and services, along with limited data processing codes and instructions. For IMET and Foreign Military Sales Training (FMST), it also provides unit price and duration of training courses.

b. The MASL is maintained by DSAA as a portion of the Agency's automated data bases. It is updated weekly based on Military Department input and changes are distributed via AUTODIN to the military departments. The MASL is published quarterly and distributed to the Unified Commands, MAAGs/MilGps/ODCs, Military Department Headquarters, and numerous other activities including schools and component commands of the Military Departments. Copies of the MASL, in punch card, magnetic tape or printed form, may be obtained by submitting a request to the Data Management Division, Office of the Comptroller, DSAA.

2. MASL Content

a. Materiel

(1) The MASL is arranged in budget activity (see Generic Code in Appendix A) sequence. The materiel (budget activity A

Change 18, 1 March 1978

through K) portion of the MASL is oriented toward the national supply classification system. This orientation permits the program originator, with few exceptions, to identify an item in a supply catalog and then relate the item to the MASL.

(2) Major items of materiel (except ammunition) listed in the MASL are identified by specific National Stock Number (NSN), where one has been assigned by the Defense Logistic Service Center (DLSC). Where an NSN has not been assigned by DLSC, as is the case with ships and most aircraft, major items are assigned the proper Federal Stock Group (FSG) and Federal Stock Class (FSC) followed by an appropriate pseudo National Item Identification Number (NIIN) assigned by the responsible Military Department. Following is an example of a pseudo-NSN identifying a UH-34D Helicopter:

15	152Ø ØØ ØØUH34D
	FSG 15 (Aircraft; and Air-
	frame Structural Components)
20	FSC 20 (Aircraft, Rotary
	Wing)
ØØ	National Codification Bureau
	(NCB) Code
ØØ UH34D	Pseudo NIIN (Helicopter,
	UH34D)

(3) Major items of ammunition listed in the MASL normally are identified by FSG, FSC, and DOD ammunition code. The DOD ammunition code has been utilized as a substitute for NIIN identification, with few exceptions, because of the great volume of national stock numbers in the ammunition category related to different types of packaging.

(4) The dollar value lines (see definition of term, Pt. 1) in the MASL also are oriented toward the national supply classification. Each dollar value line is delirated by specific federal stock groups and classes and generally represents a high density of specific individual items or spare parts with relatively low unit prices. As in the case of major items, identification of an individual item in a supply catalog permits a direct correlation with an appropriate dollar value line. An example in the MASL is item identification number 9K2AØØDRGSDSG (generic Code K2A) drugs, biologicals and surgical dressing, which states that the line includes all articles in FSC 6505 and 6510.

(5) Where the federal supply classification is known, the selection of an appropriate MASL line for programming can be made with ease. For this reason, a knowledge of the proper federal supply classification is a prerequisite to the accurate selection of MASL lines. In questionable cases, Cataloging Handbooks H2-1, H2-2, H2-3, and H-3, published by the Defense Logistics Service Center, 50 North Washington Street, Battle Creek, Michigan, provide valuable supplementary references.

b. Training

(1) The training (budget activity N) portion of the MASL utilizes a unique item identification number identifying each line item of training available for IMET and FMS planning and programming. Specific training item identification is obtained by assigning a seven digit identification number. The first digit identifies the Military Department responsible for the training by utilizing the program originator code; the next three are prescribed by DSAA as listed in Chapter E, Table E-5; the next three are assigned by the Military Departments to identify a specific course of instruction.

(2) Separate MASLs are maintained for IMET and FMS training. However, basic guidelines for submission of data are applicable to both programs.

(3) FMS training is reported in the 1100 System by utilizing the Generic Code N $\emptyset\emptyset$ record in the Materiel MASL.

c. Services

(1) The services (budget activities L,

M and P-T) portions of the MASL utilize non-existent FSGs. FSGs $\emptyset 1-\emptyset 2$ and $\emptyset 6-\emptyset 9$ and nonsignificant FSCs have been assigned to these budget activities. Distinctive pseudo-NIINs or item identification numbers have been assigned for various types of services to provide positive identification.

3. Definitization Policy

a. Materiel items will be included in the MASL as major items (unit of issue other than XX) only when they meet the following definitization criteria:

(1) Control over programming of specific items is desired by DSAA; e.g., aircraft, missiles, ships, combat and support vehicles.

(2) The Military Department responsible for supply must have advance information on requirements for supply planning purposes.

b. Figure H-2, Definitization Guide, provides additional guidance for making the determinations as to whether a requirement should be programmed as a major item or included in a dollar value line.

c. Responsibility for application of definitization policy and submission of MASL data for items supplied by the Defense Logistics Agency will be the responsibility of the Department of the Army.

d. DSAA will review MASL input from the Military Departments to insure compliance with definitization standards. Input which does not meet the required criteria will be returned.

4. DSAA MASL Files

a. The MASL files maintained by DSAA are subdivided as follows for reasons indicated:

(1) Current Materiel MASL. The current materiel MASL (control code K) is comprised of all items of materiel and services which may be included in prior, current, and future year MAP and FMS Programs, subject to special conditions explained by footnote code assignments. The current materiel MASL consists of lines having footnote codes other than PP. (2) Planning Year Materiel MASL. The planning year MASL (control code L) is comprised of generically described items. They are used in the preparation of congressional reports and summarized data. Items contained in the planning year MASL are identified by the assignment of footnote code "PP". These lines are maintained by DSAA rather than the Military Departments.

(3) Current Year Training MASL. The current year training MASL contains line item data for training which is currently available for programming.

(4) Budget Year Training MASL. The budget year training MASL contains line item data for training which is planned to be available for programming in the budget year and later IMET Programs.

(5) Prior Year Training MASL. The prior year training MASL contains line item data for training which has been programmed in those program years preceding the current year. This MASL is retained for historical purposes only as training for each FY is rolled up to seven dollar lines (N1 \emptyset -N9 \emptyset per country/per service approximately six months after it becomes a prior year program.

b. The materiel MASL is updated on a continuing basis as the result of changes and additions submitted by the Military Departments.

c. The current year training MASL is updated during the applicable fiscal year to add new courses which become available for programming and to incorporate significant changes in course costs and duration. During the second quarter of each fiscal year the new budget year training MASL is developed by DSAA based upon Military Department input. During the fourth quarter this new budget year MASL is updated to insure the currency of item content. On 1 October the budget year MASL becomes the new current year MASL.

5. Assignment of Footnote Codes

a. General

Military departments are responsible for

the assignment of footnote codes where applicable to all lines under their cognizance. Appendix A defines these codes.

b. Footnote Code "NN"

This code as defined in Appendix A is assigned to valid and correctly identified items which are not available for supply, under normal circumstances, to meet requirements. If a replacement item is known, a conversion card may be submitted. Dollar value lines will not be assigned this code.

6. MASL Relationship to DSAA Program Data Files

MAP/IMETP

a. All program data cards which constitute the addition of items or services (card formats 3 and 4) to the MAP/IMETP, regardless of program year, and those program change cards (card format P and Q) which contain data punches in card columns 8 through 21 are matched against one of the DSAA MASLs during the master file update process. This MASL match accomplishes the following:

(1) Determines that the program requirement is a valid line in the MASL.

(2) Provides a description and unit of issue for the items being added to the program file, verifies MILSTRIP routing identifier and execution agency identifier codes, and assigns implementing agency code consistent with the MRI and EXA.

(3) Provides a feedback error list for data cards which failed to match the MASL.

(4) Assures the issuance of MAP orders to the correct implementing or execution agency.

b. An additional step accomplished during the program data/MASL match is the application of MASL conversion card data, which has been provided by the Military Department when submitting MASL change data. The conversion card is used to provide programming data to be used in lieu of a MASL item which is no longer available or which has been deleted from the MASL. DSAA requires conversion card data in the following instances: (1) When footnote code "NN" is assigned to an item no longer available for normal military assistance programming and a replacement or substitute item is known.

(2) When a line is deleted from the MASL and a replacement or substitute item is known.

FMS

c. The MASL is used by DSAA during the weekly FMS update as follows:

(1) To screen incoming detail (card formats 4 and D) to ensure correct National Stock Number and Generic Codes. Detail cards which do not match the MASL are rejected and Security Assistance Accounting Center notified. The defined generic code and national stock number as stated in the DD Form 1513, Letter of Offer and Acceptance (LOA) must be reflected in the MASL before the letter of offer is prepared.

(2) Provides a description of the item for use in subsequent updating.

MAP/IMETP/FMS

d. Assigns a selected item description number (see Appendix A) to facilitate subsequent preparation of summary reports.

7. Maintenance of the MASL

a. Changes

Changes to MASL data should be submitted to DSAA as they develop, as follows:

(1) Materiel and Services (other than training).

(a) Major Items—Changes to major items of materiel will be submitted by the Military Department having single-service wholesale inventory management responsibility. When the change being submitted is to transfer the wholesale inventory management responsibility to another Military Department, such changes will be initiated by the Military Department acquiring the responsibility and will be coordinated with the Military Department relinquishing responsibility, prior to submission to DSAA.

(b) Dollar Lines—Additions of and changes to dollar lines of materiel items will be initiated only by DSAA. Recommendations concerning dollar line item changes may be made by the Military Departments when deemed appropriate.

(2) Training. Changes to items in the training MASL, major item and dollar lines, will be submitted by the Military Department offering the training.

b. Inquiries

Inquiries regarding MASL data should be directed as follows:

(1) Materiel and Services (other than training). Requests for information in clarification of data in the DSAA MASL should be forwarded to DSAA, with the following exceptions:

(a) Requests for MAP Unit Price, LT, S/S and availability of items should be forwarded to the Military Department indicated by the MRI code of the item as having inventory management responsibility.

(b) Requests for addition of major items to the MASL will be forwarded to the Military Department to which single-service wholesale inventory management responsibility has been assigned. When the assignment is not known, request should be directed to the Military Department indicated in the MASL as having responsibility for similar type equipment.

(2) Training. All inquiries regarding training MASL data should be directed to the appropriate Military Department.

8. Submission of MASL Data

Military Departments will submit additions and changes to and deletions from the MASL on one of the appropriate card formats illustrated in Figure H-1 (Materiel, Training and MASL Conversion). Instructions for preparation of MASL data follow:

a. General

(1) MASL data in card 1 (materiel), card 2 (training) and card E (conversion) format may be transmitted to DSAA via AUTODIN using routing indicator RUE-WEDA. Submit as changes occur.

(2) Worksheets (printed legibly in pencil) may be submitted in single copy to Comptroller, DSAA, Washington, D.C. 20301, Attention: Data Management Division.

(3) Machine listings for mark-up will

be provided by DSAA upon request, where the volume of changes warrants.

b. Additions

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For new items recommended for addition to the MASL, complete all appropriate card columns in accordance with the following instructions.

(1) Card 1—Materiel and Services other than Training (all generic codes except generic code N).

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Card Column	Data	Explanation
1	Card Code	The card code is used to signify the type of card being processed A "1" in this column signifies that the card is a MASL card (materiel and services other than training). Codes G through C in this column identify "trailer cards" used to amplify the de- scription of the item. (Trailer cards contain only NSN and Generic Code, and a continuation of the description.)
2–3	Footnote Code	This column provides footnote code used in the MASL to provide significant information on availability of military articles and services. See Appendix A for explanation of footnote codes.
4-6	MILSTRIP Routing Identifier	MILSTRIP routing identifier is the abbreviation for military standard requisitioning and issue procedures routing identifier, commonly referred to as the "MRI". The MRI identifies the headquarters within the Military Departments which has pro- vided the MASL data. A complete listing of MRI's (except FAØ which is used for US Air Force MASL lines and identifies Headquarters US Air Force) is contained in the Military De- partment implementation of Department of Defense Manual, Military Standard Requisitioning and Issue Procedures (MIL- STRIP) as follows:
	·	Army-Army Regulation AR 725-50 Navy-NAVSANDA Publication 437 Air Force-AFM 67-1, Vol. 1 For most dollar value lines, the MRI entry is blank and the MASL line has a "K" in the footnote code column. See footnote
		code K, Appendix A, for explanation of how to determine the correct MRI.
7	Action Code	Leave blank.
8–20	National Stock Number	GP CL NCB ITEM-ID is the abbreviation for federal stock group(FSG), class(FSC), National Codification Bureau Code (NCB) and item identification number (NIIN). Where cards are submitted ensure that O's are punched as numeric zeroes and I's are punched as numeric ones.
21-23	Generic Code	A description of each generic code is contained in Appendix A.
24	Classification	The code appearing in this column indicates the security classi- fication of the item for guidance in complying with national disclosure policy and Military Department security regulations. Code Classification T Top Secret S Secret
		T Top Secret S Secret C Confidential U Unclassified
25–26	Unit of Issue	The abbreviation in this column represents the standard unit of issue to be used for programming the related MASL item. Ap- pendix A contains the abbreviations appearing in the unit of issue column of the MASL.
27–52	Description	The entry in this column is the description of the item. It is necessary for mechanical reasons, that the description be 26 characters or less. For this reason, numerous abbreviations and mnemonic words have been used. Where an item in the MASL is described by a National Stock Number (NSN), reference to the appropriate catalog will provide a more detailed description.

		be submitted (see entry in column 1).
53	Control Code	Enter K.
54-58	Blank	Leave blank.
59	Quantity Control	Leave blank. The code in this column will be entered by DSAA to determine quantity count for summarizing data. See Appendix A for explanation of codes.
60–61	Blank	Leave blank.
62–64	SIDN	Leave blank. The Selected Item Description Number (SIDN) will be entered by DSAA to provide a means for identification and rollup of detail records into standard groups or categories for the preparation of summary documents and Congressional data. See Appendix A.

65-80 (Card
Column

Blank Leave blank.
(2) Card 2—Training (Generic Code N)

Card Column	Data	Explanation
1	Card Code	The Card Code is used to signify the type of card being processed. A "2" in this column signifies that the card is a MASI card (training).
2–3	Duration	Enter duration of course, expressed in number of weeks. Leav blank for all categories of training except individual courses Precede significant digits with a zero, e.g. Ø9. For courses in excess of 99 weeks, see Appendix A. Where duration is variable because the training line has a non-specific variable duration which should be determined separately, enter the code VA.
46	Execution Agency Identifier $_{}$	Enter the execution agency identifier code as described in Ap pendix A, and Chapter E.
7	Action Code	Leave blank.
8–`13	Blank	Leave blank.
14–20	Item Identification Number	A seven-digit number used to identify each specific training item available for military assistance. The first four digits are prescribed by DSAA and are listed in Chapter E, Table E-5 These four digits will be used as a "root" by the Military De partment who adds the last three digits to complete the seven
		digit identification number.
21-23	Generic Code	Enter the appropriate generic code prescribed in Appendix A
24	Classification	Enter the appropriate classification code prescribed in Appendix A. Classified training requires security clearance prior t execution.
25–26	Unit of Issue	Enter "EA" (each) for student training (formal training mobile training teams—detachments and field training services) Enter "XX" (dollars) for training support, personnel training and extraordinary expenses.
27-52	Description	Enter the description in 26 spaces or less. Insure that descrip tions are as uniform as possible.
53	Control Code	The control code is used to designate the program year to which the MASL entry applies. DSAA will specify this code annually
54-60	Prerequisite English Compre- hension Level (ECL)	Assigned by the Military Department based on pertinent regula tions. Leave blank if prerequisite ECL is not required.
61–72	Services Identification Number	Assigned by the Military Department to identify the item fo its own internal processing.
73–80	Unit Price*	An amount entered in this field represents the unit or contract cost for an individual course to be charged by the Military De partment. "N/C" entered in this column signifies that no charg is made by the Military Department. "EST" entered in this field signifies that unit price must be estimated. The basis for estimate

ing the unit price is contained in Military Department publications.

c. Changes

(1) To submit changes to existing MASL lines, the following fields must be completed:

Column	
1	Card Code
2-7	Action Code "S"
8-20	National Stock Number (Materiel
	Only)
14 - 20	Item Identification (Training Only)

- 21–23 Generic Code
 - 53 Control Code

Entries in data fields other than the above are required only where a change in data is intended, e.g. unit price, duration, etc. Where a change is made, enter the new data.

(2) When changing a MASL line you may desire to blank certain fields. The fields listed below may be blanked by entering an asterisk(*) in the right most column of the fields.

(a) Materiel MASL Lines

Column 2-3 Footnote Code

(b) Training MASL Lines

Column

- 2–3 Duration
- 54–60 Prerequisite course number
- 61–72 Service Identification Number

d. Deletions

To delete an existing line from the MASL, the following fields must be completed:

- Column
 - 1 Card Code
 - 7 Action Code "D"
- 14-20 Item dentification Number (Training)
- 8-20 Item Identification Number (Materiel)
- 21–23 Generic Code

53 Control Code (Training only) Leave all other columns blank. Submit a conversion card where a substitute or replacement item is known.

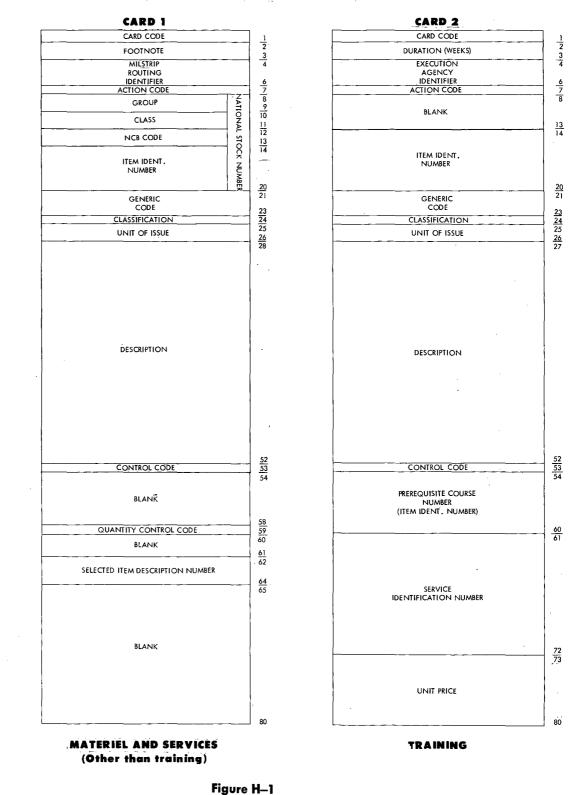
e. MASL Conversion (Card E)

Paragraph 6.b. explains the use of MASL conversion data in the DSAA program/ MASL Match procedures. Following is an explanation of card layout and instructions for submitting conversion data:

Card Column	Data	Explanation
1	Card Code	An "E" is used to indicate a conversion card for materiel, services and training.
2–7	Blank	Leave blank.
8–20	National Stock Number	Punch the group, class, NCB code (materiel and services only) and item identification number of the new program line as it appears in the MASL.
21-23	Generic Code	Punch the generic code of the new program line as it appears in the MASL.
24–27	Blank	Leave blank.
28-40	National Stock Number	Punch the group, class, NCB code (material and services only) and item identification number of the old program line being converted, as it appears in the MASL.
41–43	Generic Code	Punch the generic code of the old program line as it appears in the MASL.
44–52	Blank	Leave blank.
53	Control Code	Identifies the MASL file of the program line being converted (reference para 4).
54-80	Blank	Leave blank.

Change 18, 1 March 1978

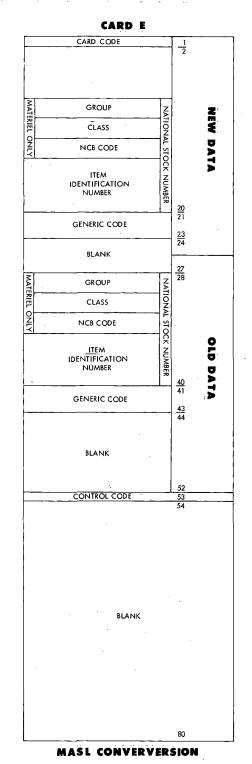
CARD FORMATS MILITARY ARTICLES AND SERVICES (MASL)





Change 18, 1 March 1978

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CARD FORMATS MILITARY ARTICLES AND SERVICES MASL



Change 18, 1 March 1978

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Figure H-2

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DEFINITION GUIDE-MATERIEL

(Generic Codes A through K)

				Progr	ım as:		
Feneric Code	_	Description	Federal Supply Classification	Major Item	Dollar Line	Remarks	
		AIRCRAFT					
1		Combat Aircraft	· · ·				
	Α	Attack	FSC 1510	X			
	B	Bomber	•				
	F	Fighter					
	S	Anti-Submarine		_ X			
	v	VTOL & VSTOL					
2		Airlift Aircraft					
4	С	Cargo Transport	FSC 1510	x			
	U		ISO 1010	- A			
3	_	Trainer Aircraft					
	Т	Trainer	FSC 1510	X			
4		Helicopters					
	С	Cargo Transport	FSC 1520	_ X			
	\mathbf{H}	General Purpose	FSC 1520	X			
	\mathbf{L}	Observation		_ X			
	s	Anti-Submarine	FSC 1520	_ X			
	Т	Trainer	FSC 1520	_ X			
	U	Utility	FSC 1520	_ X			
5		Other Aircraft					
	\mathbf{E}	Special Electronic Installation	FSC 1515	_ X			
	G	Glider		_ X			
	К	Tanker					
	\mathbf{L}	Observation		_ X			
	Р	Patrol		_ X			
	U	Utility		_ X			
	\mathbf{X}	Research		_ X			
	Z	Airship					
6		Modification of Aircraft					
-	Α	Class IV	· · · · · · · · · · · · · · · · · · ·	X			
	в	Class V					

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H-10

Figure H-2 (continued)

				Progr	ım as:	
Generic Code		Description	Federal Supply Classification	Majo r Item	Dollar Line	Remarks
A		· · ·				
7	Aircr	aft Support Equipment	•			
	A* Gr	ound handling equipment	FSC 1730, 4920		x	
	B* Ar	resting, barrier and barricade equipment	FSC 1710		x	
	C* La	unching equipment	FSC 1720	: X		
	D* Spe	ecialized Trucks and Trailers	FSC 1740		X	
• One dol	lar line provide	d for initial stockage, one for follow on.				•
9	Aircr	aft Spares and Spare Parts				
	A Ga	soline Reciprocating Engines, Complete	FSC 2810	х		
	B Gas	s Turbines & Jet Engines, Complete	FSC 2840	X		
	C Air	craft Components, Parts & Accessories	FSG 16 (except 1670) and FSC		X	May include other FSG when
			1270, 1280, 1290, 1377, 1560			supplied for aircraft.
			2620, 2810 (Components), 2840			
			(Components), 2915, 2925, 2935,			
			2945, 2950, 2995, 6340, 6605, 6610, 6615 and 6620			
В	MISSII	LES	· · ·			
1	Grou	nd Launched Missiles				· · · · ·
	Mi	ssiles, Major Components, Class V Mods		x		See list below for specific
						missiles.
	Mi	ssile Shop Sets, test equipment, trailers, vans	mod lite other components and			See list below for specific
		support equipment			x	missiles.
2	Air I	aunched Missiles				
-		ssiles, Major Components, Class V Mods		Y		See list below for specific
	1011	ssites, major components, class v mous				missiles.
	Mi	ssiles Shop Sets, test equipment, trailers, vans	, mod kits, other components and	l		See list below for specific
· · ·		support equipment	, , , ,		X	missiles.
3		Launched Missiles				
		ssiles, Major Components, Class V Mods		v		Soo list holow for
	11/1	sones, major components, class v mous		. Л		See list below for specific missiles.

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H-11

Change 18, 1 March 1978

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Program as: Generic Major Dollar Federal Supply Classification Code Description Remarks Item Line 3 See list below for specific Missile Shop Sets, test equipment, trailers, vans, mod kits, other components and support equipment missiles. The following codes will be used by the Military Departments when submitting MASL data for ground launched missiles or associated dollar lines: A. Nike L. Lacrosse F. Sergeant B. Redeye G. Pershing M. Thor C. Hawk H. Entac N. Lance D. Mauler J. Tow R. Chaparral E. Jupiter K. Dragon S. Shillelagh The following codes will be used by the Military Departments when submitting MASL data for air launched missiles or associated dollar lines: A. Shrike T. Phoenix D. Standard V. Corporal F. Aerial Target Missile W. Sparrow N. Falcon Y. Matador Z. Multipurpose Missile Equipment R. Sidewinder S. Bullpup The following codes will be used by the Military Departments when submitting MASL data for sea launched missiles or associated dollar lines: K. Polaris P. Terrier Q. Tartar R. Harpoon Q Drones _____ FSC 1520 & 1550 _____ X 4 9 Missile Spares and Spare Parts Guided missile parts _____ FSG 14 & FSC 1190, 1195, 4935 _____ X Α В Guided missile warhead components _____ FSC 1336, 1337, 1338 _____ X С Free missile parts _____ FSC 1055, 1190, 1195, 1340 _____ X

MILITARY ASSISTANCE AND SALES MANUAL-PART

Figure H-2 (continued)

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				Progr	am as:	
eneric Code		Description	Federal Supply Classification	Major Item	Dollar Line	Remarks
	5	SHIPS			1	
1		Warships				
	Α	Destroyer (DD)	FSC 1905	_ X		
	в	Submarine (SS)	FSC 1905	_ X		-
	С	Ocean Escort (DE)				
	D	Light Aircraft Carrier (CVL)	FSC 1905	_ X		
	\mathbf{E}	Light Cruiser (CL)		~ X		
	$\mathbf{F}^{(i)}$	Guided Missile Frigate		_ X		
2		Amphibious Ships	· •			
	Α	Tank landing ship (LST)	FSC 1905	_ X		
	В	Medium landing ship (LSM/LSSL)		_ X		
	Ċ	Medium landing ship, rocket (LSMR)		_ X		
	D	Utility landing craft (LCU)				
	\mathbf{E}	Transport (AKA/AP/APA/APC/APD)		_ X		
3		Mine Warfare Ships				۵
	Α	Coastal minelayer (MMC)		_ X		
	в	Ocean minesweeper (MSO)				
	Ċ	Coastal minesweeper (MCS)				
	Ď	Inshore minesweeper (MSI/MSB)				
	\mathbf{E}	Fleet minesweeper (MSF)		_ X		
	G	Mine countermeasure support (MCS)		_ X		
	н	Auxiliary mineplanter (YMP)	FSC 1905	_ X		
4		Patrol Ships				x.
	Α	Patrol frigate (PF)		_ X		
	в	Patrol craft (PC)		_ X		
	С	Patrol craft escort (PCE)				
	D	Patrol gunboat (PGM)		_ X		
	\mathbf{E}	Seaward defense craft (SDC)	FSC 1905	_ X		
	F	Fast patrol boat (FPB)				
	G	Patrol torpedo boat (PT)		X		

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H-13

Change 18, 1 March 1978

Figure H-2 (continued)

				Program as:		
Generic Code		Description	Federal Supply Classification	Majo r Item	Dollar Line	Remarks
D		COMBAT VEHICLES	· · · · ·		· · ·	
1		Armored Carriers				
	Α	Personnel carriers, full track	FSC 2320, 2350	X		
	В	Personnel carriers, half track		X		
	С	Armored cars	FSC 2320	X		
	D	Cargo carriers	FSC 2320, 2350	X		
	\mathbf{E}	Weapons carriers	FSC 2320, 2350	X		
2		Self-Propelled Artillery				
	Α	Anti-aircraft	FSC 2350	X		
	в	Anti-tank	FSC 2350	X		
	С	105mm howitzer	FSC 2350	. X		
	D	155mm howitzer	FSC 2350	X		
	\mathbf{E}	4.2 inch mortar	FSC 2350	X		
	\mathbf{F}	8 inch howitzer		X		
	G	175mm gun	FSC 2350	. X		
	\mathbf{Z}	Other self propelled artillery		X		
3		Tanks				
	Α	Light tank	FSC 2350	X		
	В	Medium tank	FSC 2350	X		
4		Tank Recovery Vehicles				
	Α	Tank recovery vehicles	FSC 2320, 2350	X		
5		Other Combat Vehicles				
	Α	High speed tractors	FSC 2430	X		
	в	Amphibious landing vehicles	FSC 2320, 2350	X		
	С	Tank mounted bulldozers	FSC 2590	X		
Đ		TACTICAL AND SUPPORT VEHICLES				
1		Semi-Trailers				
	A	Tank	FSC 2330	_ X		
	в	Stake	FSC 2330	. X.		
	С	Van	FSC 2330	_ X		
	D	Low bed	FSC 2330	X		
	\mathbf{E}	Transporter				
•	Z	Other semi-trailers	FSC 2330	X		

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Figure H–2 (continued)

		•	Program as:			
Generic Code		Description	Federal Supply Classification	Major Item	Dollar Line	Remarks
E						
2		Trailers				
	Α	Cargo	FSC 2330	х		
	B	Tank				
	č	Ammunition				
	D	Fuel service				
	Ē	Low bed				
	F	Flat bed	-			
	G	Bolster				
	H	Dolly				
	п Z	Other trailers				
3	L	Trucks	FBO 2000			
0	Α	¹ / ₄ ton cargo	FSC 2320	х		
	В	¹ / ₄ ton ambulance				
	D	³ / ₄ ton cargo				
	E	³ / ₄ ton ambulance				
	ь G					
-	K	1 ton cargo				
		2½ ton cargo				
	L	2 ¹ / ₂ ton dump				
	M	2 ¹ / ₂ ton special purpose	—			
	N	2½ ton tank				
	\mathbf{Q}	2 ¹ / ₂ ton truck-tractor	FSC 2320			
	R	2½ ton wrecker	FSC 2320			
	Т	5-ton cargo				
	U	5-ton dump				
	W	5-ton truck-tractor				
	x	5-ton wrecker				
	\mathbf{Z}	Other trucks	FSC 2320	X		
4		Support vehicles				
	Α	Station wagon				
	в	Sedans				
	С	Buses				
	D	Motorcycles and motor scooters	•			
	\mathbf{E}	Ambulances		_ X		
	\mathbf{F}	Commercial Trucks	FSC 2320	X		
	\mathbf{Z}	Other support vehicles	FSC 2310, 2320	X		

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H-15

Change 18, 1 March 1978

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Figure H-2 (continued)

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				Progr	am as:	
Generi Code	c	Description	Federal Supply Classification	Major Item	Dollar Line	Remarks
F	-	WEAPONS				
1		Weapons, up to 75mm				
	Α	Pistols	FSC 1005	x		
	B	Carbines				
	Ē	Rifles				
	Ē	Sub-Machine Guns	-			
· •	F	Machine Guns				
	Ĝ	Mounts				
	Ĥ	Shotguns	, ,			
	J	Sub-caliber weapons				
	к	Anti-aircraft guns				
	L	Launchers	,			
	Μ	Mortars				
	\mathbf{Z}	Other weapons, up to 75mm	FSC 1005, 1010, 1090	_ X		
2		Artillery, 75mm and over				
	Α	75mm guns	FSC 1015	_ X		
	в	76mm guns				
	С	90mm guns		_ X		
	D	105mm guns	FSC 1015	_ X		
	\mathbf{E}	155mm guns				
	\mathbf{F}	175mm guns	FSC 1025	_ X		
	G	75mm howitzer				
	н	105mm howitzer		_ X		
	J	155mm howitzer				
	K	8-inch howitzer	FSC 1030	_ X		
	\mathbf{L}	75mm recoilless rifles		_ X		
	м	90mm recoilless rifles	FSC 1015	_ X		
	Ν	105mm recoilless rifles	FSC 1015	_ X		
	Р	106mm recoilless rifles	FSC 1015	_ X		
	Q	120mm recoilless rifles				
	R	155mm recoilless rifles				
	S	81mm mortar				
	т	107mm/4.2 inch mortar	FSC 1015	_ X		
	U	120mm mortar	FSC 1015	_ X	•	
	\mathbf{Z}	Other weapons, 75mm and over	FSC 1015, 1025, 1030, 1035	_ X		

	· · · · · · · · · · · · · · · ·		Progra	ım as:		
Generic Code	Description	Federal Supply Classification	Major Item	Dollar Line	Remarks	
1						
3	Naval Ordnance Weapons					
А	20mm mounts	FSC 1005	x			
В	40mm mounts	FSC 1010	х			
õ	3-inch/50 mounts	FSC 1015	x			
$\tilde{\mathbf{D}}$	5-inch/25 mounts	FSC 1020	x			
Ē	5-inch/38 mounts	FSC 1020	х			
F	5-inch/54 mounts	FSC 1020	х			
Ğ	Loading machines, all calibers	FSC 1010, 1015, 1020	x			
Ĥ	Torpedo launchers	FSC 1045	х			
J	Depth charge launchers	FSC 1045	x			
ĸ	ASW rocket launchers	FSC 1055	x			
$\cdot \mathbf{\hat{L}}$	Harbor Nets, booms and buoys	FSC 1070, 2050		X	•	
ñ	Degaussing and minesweeping equipment	FSC 1075				
N	Naval fire control directors	FSC 1210				
P	Naval fire control computing sights	FSC 1220	x			
Q	ASW fire control systems	FSC 1230	X.			
Ř	Gunfire control systems	FSC 1230	x			
S	Torpedo fire control systems	FSC 1210, 1220, 1230	x			
T	Submarine fire control systems	FSC 1230	x			
Û	Target designating systems	FSC 1260, 1265	x			
v	Fire control radar	FSC 1285	x			
Ż	Other naval ordnance weapons	FSC 1005, 1010, 1015, 1020,	x	х	(1)	
2		1025, 1030, 1035, 1040, 1045,				
		1055, 1080, 1090, 1095, 1240,				
		1250, 1260, 1265, 1270, 1280,				
	:	1287, 1290				
4	Other Weapons					
Α	Chemical weapons and equipment	FSC 1040	х	х	(1)	
в	Camouflage and deception equipment	FSC 1080		X		
D	Fire control equipment other than aircraft and	FSG 12	х	х	(1)	
	naval ordnance.					
\mathbf{Z}	Miscellaneous other weapons and Interchange-	FSC 1020, 1055, 1090, 1095	х	X	(1)	
	able Assemblies.					

H-17

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Figure H-2 (continued)

				Progr	am as:	
Generic Code		Description	Federal Supply Classification	Majo r Item	Dollar Line	Remarks
יק						
9		Weapon Spare Parts, Components and Accessories			•	
	А	Weapons	FSG, 11, 12 & FSC 1005, 1010,		x	
			1015, 1020, 1025, 1030, 1035,		¢	
			1055, 1090, 1095, 2845 (other			
			than Naval Ord).			
	в	Naval ordnance weapons	FSG 10, 12 & FSC 2845		_ X	
G		AMMUNITION	· · · · ·			
1	•	Ammunition up to 75mm & grenades				
	Α	22 caliber	FSC 1305			
	в	30 caliber	FSC 1305			•
	\mathbf{C}	7.62mm	FSC 1305			
	D	38 caliber	FSC 1305			
	\mathbf{E}	45 caliber	FSC 1305			
	\mathbf{F}	50 caliber	FSC 1305			
	G	60 caliber	FSC 1305			
	н	20mm	FSC 1305			
	J	37mm	FSC 1310			
	K	40mm	FSC 1310			
	\mathbf{L}	57mm	FSC 1310			
	M	60mm mortar	FSC 1310			
	N	Shotguns	FSC 1305		х.	
	Р	Grenades	FSC 1330		77	(1)
	\mathbf{Z}	Other ammunition, up to 75mm	FSC 1305, 1310, 1330	X	Х	(1)
2		Ammunition, 75mm and over				
	Α	75mm	FSC 1315	х		
	в	76mm	FSC 1315	х		
	С	81mm mortar	FSC 1315			
	D	90mm	FSC 1315	х		
	\mathbf{E}	105mm	FSC 1315	х		
	F	106mm	FSC 1315	х		
	G	4.2 inch mortar	FSC 1315	\mathbf{X} .		
	н	3 inch 50	FSC 1315	Х "		
	J	5 inch 25	FSC 1320	X		

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Change 18, 1 March 1978

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H-18

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Generic Code			· · ·	Program as: Major Dollar		
		Description	Federal Supply Classification	Item	Line	Remarks
2	к	5 inch 38	FSC 1320	x		
	L	5 inch/54	FSC 1320	X		
	M	155mm	FSC 1320	X		
	N	8 inch	FSC 1320	Х		
	Р	175mm	FSC 1320	х		
	\mathbf{z}	Other ammunition, 75mm and over	FSC 1315, 1320		X	(1)
3		Land Mines and Explosives				
	Α	Anti-personnel mines	FSC 1345	х		
	в	Anti-tank mines	FSC 1345	\mathbf{X}		
	С	Demolition kits	FSC 1375	X		
	D	Charges	FSC 1375	х		
	\mathbf{Z}	Other explosives and land mine components	FSC 1345, 1375, 1376, 1377		X	
4		Naval Ordnance Ammunition				
	Α	Service mines, complete	FSC 1361	х		
	В	Drill mines, complete	FSC 1350, 1351	х		
	С	Mine components	FSC 1350, 1351	х	X	(1)
	D	Torpedo exercise heads	F SC 1355, 1356	х		
	\mathbf{E}	Anti-surface torpedoes	FSC 1356	х		
	\mathbf{F}	ASW torpedos	FSC 1356	х		· .
	G	Torpedo components	FSC 1355, 1356		_ X	
	н	Depth charges	FSC 1361			
	J	Depth charge components	FSC 1360, 1361		. X	
5		Bombs and Rockets				
	Α	Practice bombs and shapes	FSC 1325	x		
	В	Armor piercing bombs	FSC 1325			
	č	Depth bombs	FSC 1325			
	Ď	Fire and incendiary bombs	FSC 1325			
	Ē	Fragmentation bombs	FSC 1325			
	F	General purpose bombs	FSC 1325			•
	Ĝ	Electronic Operated Guided Bombs	FSG 13			
	M	Bomb components	FSC 1325		x	
	N	Other bombs	FSC 1325			
	P	2.25 inch rockets	FSC 1340			

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Program as: Major Generic Dollar Code Description Federal Supply Classification Remarks Item Line G Q 2.36 inch rockets _____ FSC 1340 _____ х R 2.75 inch rockets FSC 1340 _____ х \mathbf{S} 3.5 inch rockets FSC 1340 _____ х Т 5 inch rockets _____ FSC 1340 _____ X U 7.2 inch rockets FSC 1340 _____ X W Honest John rockets FSG, 10, 11 & 13 X Х Y х Rocket components _____ FSC 1340 _____ X (1) \mathbf{Z} Other rockets FSC 1340 _____ Х Pyrotechnic and Chemical Munitions 6 FSC 1365 _____ X Α Chemical munitions Agents Х Articles other than agents in FSC 1365. В Pyrotechnics _____ FSC 1370 _____ X Х (1) 7 Other Ammunition Α Ammunition raw materials FSC 9999 _____ Х В Fuzes and primers _____ FSC 1390 _____ X х (1)С FSC 8140 _____ Ammunition containers Х D Miscellaneous ammunition tools, and specialized equipment ______ FSC 1385, 1386, 1395, 1398 _____ X н COMMUNICATIONS EQUIPMENT 1* Telephone and Telegraph Equipment FSC 5805 ____ X х (1)2* Radio and Television Communications Equipment FSC 5820, 5821, 5985 _____ X х (1) Installation units will be programmed under dollar lines provided by DSAA. 3* Х Radio Navigation Equipment FSC 5825, 5826 _____ (1) х 4* Radar Equipment Х (1)FSC 5840, 5841 _____ Х 5^* х Underwater Sound Equipment FSC 5845 Х (1)6* Other Communications Equipment _____ х (1), (2) Instillation units for FSC 5810, 5815, 5830, 5831, X 5835, 5850, 5855, 5895 equipment in FSG 58 (other than 5820 & 5821) will be

1978

Change 18, 1 March

Figure H-2 (continued)

programmed under appropriate dollar line provided by DSAA.

2 Figure H-2 (continued) Program as: Generic Dollar Major Description Federal Supply Classification Code Item Line Remarks Ħ * The following alphabetics will be used by the Military Departments as the third character of the Generic Code to identify the type of installation: Airborne Р Pack or portable Α F Fixed v Ground, vehicular G Ground, general ground use Water, surface and underwater W Κ Amphibious \mathbf{Z} Other communications equipment Communications Equipment Spare Parts and 9 Electronic Supplies Α Communications equipment spare parts and electronic supplies _____ FSG 58, 59 _____ X SUPPORT EQUIPMENT 1 Chemical Equipment А FSC 4230 _____ X Decontaminating and impregnating equipment 2 Construction Equipment Full track tractors FSC 2410 _____ X Α в Wheeled tractors _____ FSC 2420 _____ X С Earthmoving and excavating equipment _____ FSC 3805 _____ х D Cranes and crane-shovels FSC 3810 X Ε Road clearing equipment FSC 3825 _____ X \mathbf{F} Military bridging FSC 5420 _____ X \mathbf{Z} Miscellaneous construction equipment FSC 3815, 3830, 3895 _____ X 3 Materials Handling Equipment Conveyors, cranes and derricks FSC 3910, 3950 А Х \mathbf{Z} Other materials handling equipment _____ FSG 39 (except 3910 and 3950) ____ X 4 Photographic Equipment ____ FSC 6720 _____ Α Cameras х в Projection equipment FSC 6730 _____ Х С Developing and finishing equipment FSC 6740 _____ X \mathbf{Z} Other photographic equipment, supplies and FSG 67 (except 6720, 6730 and _____ X spare parts. 6740)

MILITARY ASSISTANCE AND SALES MANUAL-PART

Change 18, 1 March 1978





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			Progr	am as:	
Generic Code	Description	Federal Supply Classification	Major Item	Dollar Line	Remarks
J					
5	Training Aids and Devices (FMS use only)				
	A Training aids	FSC 6910		_ X	
	B Armament training devices	FSC 6920			
	C Operational training devices	FSC 6930			
	D Communication training devices	FSC 6940			
	Books, Maps, and Publications	FSG 76			
	2 Other training aids and devices and spare parts	FSG 69			
6	Other Equipment				
	A Parachutes and aerial delivery equipment	FSC 1670		x	
	O Other Power Transmission equipment	FSG 30			
-	E Woodworking machinery and equipment	FSG 32			
	Metal working machinery	FSG 34			
-	G Special Industrial machines	FSG 36			
	N Safety and rescue equipment	FSC 4220 & 4240			
	Steam plant and drying equipment	FSG 44			
	2 Maintenance and repair shop equipment	FSC 4910, 4925, 4930, 493	-		
		4933, 4940	-,		
	R Power and distribution equipment	FSG 61 & 62		х	
	Instruments and laboratory equipment	FSG 66 (except 6610, 6630,			
	5 1 1	6640)			
	C Other support equipment	FSG 22, 3835, 6310, 6330, 635	0	- X	
7	Other Support Equipment				
	Medical Surgical equipment	FSC 6515		- X	
1		FSC 6520			
(X-Ray equipment	FSC 6525		X	
)		FSC 6530		X	
. 1		FSC 6630, 6640			
]		FSC 3510			
		FSC 3520			
		FSG 35 (except 3510 & 3520)			
		FSG 74			
		FSG 43			
	Image: A strain of the strain of th	FSG 45			

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Generic Code				Program as:		
		Description	Federal Supply Classification	Major Item	Dollar Line	Remarks
.7						
	N	Engines	FSG 28			
	P	Prefab & portable structures	FSG 54 (except 5240)			
	Q R	Mine drilling equipment Refrigeration & Airconditioning equipment	FSC 3820 FSG 41			
	S	Firefighting equipment	FSG 41 FSC 4210		X	
	ž	Other support equipment	FSG 37, 46, 47, 48, 71, 72, 7			
ζ	_	SUPPLIES	1 2 3 31, 10, 11, 10, 11, 12, 12,	0		
		Clothing and Textile Supplies				
1	Α	Clothing Textiles and Individual Equipment	FSG 83 & 84		x	
	А	-	r 50 65 & 64		. Л .	
2		Medical Supplies				
	Α	Drugs, biologicals and surgical dressings	FSC 6505, 6510			
	Z	Other dental & medical supplies	Supplies in FSG 65, (excep FSC 6505 & 6510)	pt	X	
3		Subsistence				
	Α	Human subsistence	FSG 89		x	
4		General Supplies				
	Α	Hand and measuring tools	FSG 51, 52		X	
	\mathbf{Z}	Other general supplies	FSG 40, 75, 78, 79, 80, 8	31	X	
		· · · ·	(except 8140), 85, 93 and FS			
		, ,	8710, 8820, 9905, 9925, 9930 ar	d .		
			9636			
5		Industrial Supplies				
	Α	Industrial supplies	FSG 31, 53, 94 & 95		X	
6		Fuels, Oils and Chemicals				
	Α	Jet fuel	FSC 9130		X	
	в	Aviation gasoline	FSC 9130		X	
	С	Automotive gasoline	FSC 9130		X	

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Change 18, 1 March 1978

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Change	Figure H–2 (continued)					
ige			· · · · · · · · · · · · · · · · · · ·	Program as:		
18,	Generic Code	Description	Federal Supply Classification	Major Item	Dollar Line	Remarks
1 March 1978	K D F G Z	Diesel fuel Navy special fuel oil Chemicals Solid fuel Other petroleum, oil and lubricants	FSC 9140 FSC 9140 FSG 68 FSC 9110 FSG 91 (except 9910, 9130 9140)		X X X	
	7 Z 8 A	Construction Supplies Construction supplies Automotive Supplies Automotive supplies and equipment parts	FSG 55& 56 FSG 25, 26, 28 (except 2620 2810, 2840 & 2845), FSC 2910 2920, 2930, 2940, 2990 and other spare parts	, ,		Automotive supplies and spare parts for equipment in Generics D, E, J & K.

MILITARY ASSISTANCE AND SALES MANUAL-PART I

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CHAPTER M

MANAGEMENT OF THE CEILING ON WEAPONS AND WEAPONS RELATED ITEMS

1. Purpose

This chapter prescribes the management policies and procedures to account for and control U.S. transfers of weapons and weapons related items in consonance with the President's policy to reduce the volume of such transactions.

2. Policy Guidance

The President's policy for all transfers of weapons and weapons related items (except to NATO countries/agencies, Japan, Australia, and New Zealand) requires that the dollar volume in constant FY 1976 dollars of new orders under Foreign Military Sales and Military Assistance Programs in FY 1978 be less than the FY 1977 total. To this end, the Office of the Secretary of Defense has established the procedures within this chapter for managing a ceiling on Weapons and Weapons Related Items and has made DSAA the responsible agency for its implementation.

3. Description of the Ceiling

The annual ceiling applies to FMS and MAP and covers only weapons and weapons related items (Figure M-1) for all countries except NATO countries/agencies, Japan, Australia, and New Zealand. Items which are not weapons or weapons related are listed in Figure M-2. The procedures pertaining to the control of MAP transactions are contained in Part II of the MASM.

4. Allocation Accounting System

a. DSAA has developed an allocation accounting system to provide the positive con-

Change 18, 1 March 1978

trols required to assure that sales of weapons and weapons related items do not exceed the annual ceiling established by the President. The DSAA/TC Ceiling Management Division is responsible for maintaining the allocation accounting system which provides:

(1) Prompt recording of the dollar value of all Letters of Offer issued. At the time the case is entered into the 1100 system, it will be coded to enable management to identify that portion of each case associated with weapons and weapons related items and services.

(2) Assurance that Letters of Offer for weapons and weapons related items will not be issued in excess of ceiling dollars allocated.

(3) Priority tracking of all reservations against the annual fiscal year ceiling for accounts 2 and 3 (see para 5a); i.e., positive control over the value of all sales offers issued together with control over the fiscal year in which each sales agreement may be accepted by the purchaser.

(4) The same control over issuance of scope change amendments to prior year programs. Such changes will be counted in the fiscal year in which they are accepted.

(5) Ability to respond accurately to requests for reporting the value of Letters of Offer issued in relation to the annual fiscal year ceiling.

(6) Recording the value of accepted sales agreements as soon as possible with the goal of doing so within five days after signature by the purchaser.

b. Section 36(b), Arms Export Control

Act, cases will continue to be reported as outlined in Part III. The following additional information is also required for Section 36(b) cases:

(1) A breakout of the items by dollar value into either the category "weapons and weapons related items" or "items not weapons or weapons related".

(2) An indication of whether the country may only accept the case in the current fiscal year so as to preclude program disruption, or whether country acceptance could be delayed until the next fiscal year without causing difficulty.

(3) A description of what problems would occur if the case was not accepted until the next FY.

(4) An indication of whether the program should be broken into several support cases and the FY in which the country must accept each case in order to avoid program disruption.

5. Description of the Allocation Accounting System

a. Upon receipt of the fiscal year ceiling, the dollar value thereof will be reduced by

the amount reserved for MAP weapons and weapons related items. The remaining balance for the fiscal year will then be set aside for the following three accounts:

(1) Account 1 — cases not requiring Section 36(b) notification (any Letter of Offer for major defense equipment less then \$7 million and all other Letters of Offer less than \$25 million).

(2) Account 2 — Section 36(b) followon support cases directly related to weapons systems previously provided.

(3) Account 3 — all other Section 36(b) cases.

b. Each military department and other defense agency preparing Letters of Offer, including scope changes to offers previously accepted, will provide DSAA/TC by 15 September of each year with a forecast of cases falling within each of the accounts addressed in para 5a which are expected to be offered in the next fiscal year. Each submission should show the basis of arriving at the forecast amount in sufficient detail to permit an analysis of the judgements and assumptions used. The formats to be used are as follows:

		Account 1 FY-Estin	nate	1
Coun	try	Estimated Value		Basis for Estimate
		Account 2/3 FY—Esti	mate	
Country	Item	Estimated Value	Basis for Estimate	Estimated 36(b) Submission Date to DSAA

c. At the beginning of each fiscal year, the annual dollar ceiling set aside for FMS will be allocated to the three accounts.

(1) Account 1 — allocate a specified amount for ceiling related cases in this category, based on an estimate by DSAA considering experience of prior years and the report specified in para 5b.

(2) Account 2 — allocate a specified

amount for ceiling related follow-on support 36(b) cases identified at the beginning of the fiscal year as required to support weapons systems previously provided.

(3) Account 3 — allocate the remainder of the ceiling available for all other ceiling related cases.

d. At the time DOD receives authorization to issue an advance Section 36(b) notifica-

Change 18, 1 March 1978

tion to Congress, DSAA will reserve the applicable dollar value of the notification in the appropriate fiscal year ceiling account.

(1) Account 1 - not applicable.

(2) Account 2 — reserve the value of ceiling related items in the advance notification; reduce unused allocation by the same amount.

(3) Account 3 — reserve the value of ceiling related items in the advance notification; reduce unused allocation by the same amount.

e. The Military Departments and Defense Agencies are responsible for inserting the appropriate MASL line data for each line item on each Letter of Offer (DD Form 1513). Should there not be an applicable line in the MASL, it is the responsibility of the department or agency preparing the DD Form 1513 to establish a line in accordance with the procedures outlined in Chapter H, Part I and Appendix A, para 20, Part III. Upon receipt of the DD Form 1513, the DSAA Ceiling Management Division will verify that these lines are properly completed. The DD Form 1513 will not be processed and will be returned to the preparing agency if line items contain incorrect MASL data.

f. When the Letter of Offer is approved for release, it will be countersigned by DSAA/ TC.

(1) Account 1 — commit the value of ceiling related items contained in each Letter of Offer countersigned; reduce unused allocation by the amount of the commitment.

(2) Account 2 — commit the value of ceiling related items contained in each Letter of Offer countersigned; adjust reservation made for advance Section 36(b) notification to reflect amount of commitment recorded; adjust unused allocation by the amount of the adjustment to the reservation.

(3) Account 3 — commit the value of ceiling related items contained in each Letter of Offer countersigned; adjust reservation made for advance Section 36(b) notification to reflect amount of commitment recorded; adjust unused allocation by the amount of the adjustment to the reservation.

g. The DSAA/TC Ceiling Management Division will also stamp the DD Form 1513 to indicate that the LOA must be accepted not later than or, as applicable not prior to a certain date. In addition, the stamp will also require that DSAA be notified by the requesting country, either directly or as prescribed in paragraph 5k, within 5 days after acceptance or rejection of the offer; if such notice is not received ten days after the expiration date of the DD Form 1513, the Letter of Offer, even though accepted, will be automatically cancelled. The Allocation Accounting System ledgers will be adjusted accordingly.

h. All requests for extensions of expiration dates on all Letters of Offer as well as revisions, prior to acceptance, to the DD Form 1513 which result in increases to the case's value must be submitted to DSAA/TC (ATTN: Ceiling Management Division) for approval. Strict adherence to the expiration date of the Letter of Offer is required; e.g., if FY 1978 ceiling dollars are committed to the transaction, the expiration date must fall within FY 1978; commitment of FY 1979 ceiling dollars requires that the Letter of Offer will not be valid before 1 October 1978. Exigencies within the ceiling management program could impact upon offer expiration dates in block #8 of the DD Form 1513, particularly during the latter months of the FY. Required changes to the offer expiration date resulting from ceiling constraints will be made by DSAA and coordinated with the cognizant military activity or agency.

i. After the DOD receives the release authority from the Department of State, the Ceiling Management Division will return the original copy of the DD Form 1513 to the preparing agency for further processing to the prospective customer, forward a copy to the SAAC, and retain a copy for its files. When the Letter of Offer is accepted, distribution of the DD Form 1513 will be made in accordance with the instructions contained in

Change 18, 1 March 1978

the DD Form 1513. In addition, the applicable Military Department or agency is responsible for furnishing a signed copy to the Ceiling Management Division.

j. Acceptances will be recorded against the appropriate fiscal year ceiling when a Letter of Offer is signed by an authorized representative of the foreign country to which the offer was made.

(1) Account 1 — record the value of ceiling related items contained in each Letter of Offer accepted; if necessary, adjust unused allocation and the commitment recorded at the time of offer to reflect USG authorized changes made to the Letter of Offer by the foreign government.

(2) Account 2 — record the value of ceiling related items contained in each Letter of Offer accepted; if necessary, adjust unused allocation, the reservation, and the commitment recorded at the time of offer to reflect USG authorized changes made to the Letter of Offer by the foreign government.

(3) Account 3 — record the value of ceiling related items contained in each Letter of Offer accepted; if necessary, adjust unused allocation, the reservation and the commitment recorded at time of offer to reflect USG authorized changes made to the Letter of Offer by the foreign government.

k. Each MAAG, ODC, or similar organization will immediately advise DSAA/TC, SAAC, and the Military Department or other agency issuing the Letter of Offer by priority message when each Letter of Offer has been signed or rejected by the host country. In those instances when Letters of Offer are processed by the foreign country without MAAG, ODC, or similar organization involvement, the Letter of Offer will have an annotation requiring the signature authority to immediately notify DSAA, SAAC, and the appropriate organization issuing the Letter of Offer by message when signed by an authorized representative of the purchaser.

I. The allocation accounting system will be maintained on a daily basis and reconciled. with the data in the 1100 system to insure compatibility and accuracy of actual data. See Figure M-3 for an illustration of FMS ceiling allocation transactions.

m. Reference to a Letter of Offer (DD Form 1513) includes an amendment to a Letter of Offer (DD Form 5131-1) for purposes of paragraph 4 and all above subparagraphs of this paragraph. Notifications of price increases issued on DD Form 1513-2 to cases accepted during the same fiscal year will be provided by the issuing authority immediately after issuance to the DSAA Ceiling Management Division for adjustment of the appropriate Allocation Accounting System accounts.

6. Estimated Ceiling Activity

The Ceiling Management Division will be in a position to provide estimates of ceiling activity based upon the information submitted in accordance with paragraph 5 above and required updates. The accuracy of these estimates will be dependent upon:

a. Analysis of computer records of reservations and commitments pertaining to ceiling activity including revalidation of specified reserves in accounts 2 and 3.

b. Analysis of the status of Letters of Offer outstanding, to revise forecasts for current and next fiscal year.

c. Analysis of Section 36(b) notifications which are under or have completed Congressional review, but for which Letters of Offer have not been issued.

d. Identification of cases not yet authorized for advance Section 36(b) notification to Congress and their potential impact on the ceilings for the current and next fiscal year.

e. Identification of programs in which recipients are known to have an interest for possible impact on the ceilings for the current and next fiscal year.

7. Monthly Report

A monthly report is required from each Military Department which indicates the status of preparation of Letters of Offer which must undergo Congressional Review under provisions of Section 36(b), Arms Export Control Act. Reports are due in DSAA/ TC by the 20th of each month. Specific information required is:

a. A listing, by country, items and esti-

mated dollar value, of LOAs in preparation which must be submitted to the DSAA Comptroller for initiation of the Congressional review process.

b. An estimate of the date when each of these LOAs will be submitted to the DSAA Comptroller.

Change 18, 1 March 1978

WEAPONS AND WEAPONS RELATED ITEMS

All aircraft Aircraft modifications Aircraft spare parts All Ships Ship cost sharing Ship space parts All missiles Missile system components Missile modifications Missile spare parts Armored cars Carrier, Cargo, Armored Carrier, personnel, armored, full track Carrier, personnel, armored, half track Carrier weapons Tanks Tank recovery vehicles Miscellaneous combat vehicles Artillery, self-propelled, anti-aircraft Artillery, self-propelled, anti-tank Artillery, self-propelled, 105mm howitzer Artillery, self-propelled, 155mm howitzer Artillery, self-propelled, 4.2 mortar Artillery, self-propelled, 8 inch howitzer Artillery, self-propelled, 175mm gun Artillery, self-propelled, other Semi-trailers, all types* Trailers, all types* Trucks, $\frac{1}{4}$ ton, all types* Trucks, $\frac{3}{4}$ ton, all types* Trucks, 1 ton, all types* Trucks, $2\frac{1}{2}$ ton, all types* Trucks, 5 ton, all types* Fire trucks* Other trucks* Carbines Pistols Rifles Submachine guns Machine guns Gun, anti-aircraft Gun, riot-control Gun, 75mm Gun, 76mm Gun, 90mm

Gun, 105mm Gun, 155mm Gun, 175mm Howitzers, 75mm Howitzers, 105mm Howitzers, 155mm Howitzers, 8 inch Mortars, 60mm Mortars, 81mm Mortars, 107mm-4.2 inch Mortars, 120mm Rifles, recoilless, 75mm Rifles, recoilless, 90mm Rifles, recoilless, 105mm Rifles, recoilless, 106mm Rifles, recoilless, 120mm Rifles, recoilless, 155mm Subcaliber weapons Other weapons up to 75mm Other weapons 76 mm + over Mounts, 20mm Mounts, 40mm Mounts, 4 inch/50 Mounts, 5 inch/25Mounts, 5 inch/38 Mounts, 5 inch/54Launchers, depth charge Launchers, rocket Launchers, torpedo Loading machines Fire control directors Fire control computers ASW fire control systems Gunfire control systems Torpedo fire control systems Submarine fire control systems Vehicles, miscellaneous types Other weapons + ordnance equipment Weapons, spares Automotive supplies + equipment spares Artillery charges (thousand) Artillery projectives 5 inch + over (thousand) Bombs (thousand) Cartridges up through 20mm (thousand) Cartridges, 37mm to 75mm (thousand)

* Built to Military Specification (Mil Specs)

Figure M–1

MILITARY ASSISTANCE AND SALES MANUAL-PART III

Cartridges, 75mm to 90mm (thousand) Cartridges, 105mm-155mm (thousand) Grenades (thousand) Mines (thousand) Mortar rounds, 60mm (thousand) Mortar rounds, 81mm-4.2 inch (thousand) Riot control gun ammunition (thousand) Rockets (thousand) Torpedos Other ammunition and components **Electronic Countermeasures equipment** Radar air search equipment Radar ground control (GCA) equipment Radar mortar tracking equipment Radar Surveillance equipment Radio ground-air communications equipment Radio mobile + transportable equipment Radios, non-tactical Radio-radar equipment, airborne

Radio receiving equipment Radio relay equipment Radios, tactical Radio transmitting equipment Other communications equipment Communications equipment spares Military bridges Tractors, crawlered + wheeled Fork lift trucks Tool kits and sets Petroleum oil lubricants, gases and fuels Repair and rehabilitation Research and development Ship overhauls Training aids and publication FMS **Training FMS Technical Assistance** Technical Assistance Field Team (TAFT) Weapons production project

Figure M–1 (continued)

ITEMS NOT WEAPONS OR WEAPONS RELATED

Cranes

Major construction equipment items Generators, 30kw + largerCompressors, 100 cem + larger Prefabricated buildings Station wagons Sedans Buses Motorcycles/motor scooters Commercial ambulances **Commercial trucks** Other commercial vehicles Public address equipment Recorder, reproducer equipment **Telephone** sets Tel+Tel terminal + transportationequipment Miscellaneous, other support equipment Other supplies Miscellaneous material Construction Supply operations Packing, crating, handling and transportation Operation and maintenance of MAP installations Storage + maintenance of stockpiles Logistics management expenses Training aids and publications IMET **Training IMET** Infrastructure Other services International military headquarters IG state and other expenses Administration expenses FMSO No. 1 agreement

Change 18, 1 March 1978

ILLUSTRATION OF FMS CEILING

	Unused Allocation	Reservation	Commitment	Acceptance
	ACCO	UNT 1-NON-36(b) CAS	SES	
Opening Balance	1,000	Not Used		
Case AAA Offer	998		2	
Case AAA Accept	999		1	1
	ACCOUNT 2-3	6(b) FOLLOW-ON SUP	PORT CASES	
Opening Balance	2,000			
Case AAB Advance 36(b)	1,990	10		
Case AAB Offer	1,990		10	
Case AAB Accept	1,991	—1	1	9
	ACCOUNT	T 3—OTHER 36(b) PRO	GRAMS	
Opening Balance	7,000			
Case AAC Advance 36(b)	6,500	500		
Case AAC Offer	6,520	—2 0 ·	480	
Case AAC Accept	6,520			480

Figure M-3

Change 18, 1 March 1978

Missile, AIM-4, Falcon (AF)

APPENDIX C

MAJOR DEFENSE EQUIPMENT LIST

CATEGORY I-FIREARMS

Rifle, 5.56MM, M-16(A)

CATEGORY II-ARTILLERY AND PROJECTORS

Gun, 20MM (AF) Gun, GAU-8, 30MM (AF)

CATEGORY III-AMMUNITION

Cannon Launched Guided Projectile (CLGP) (A) Cartridge, 20MM!(N) (AF) Cartridge, 30MM (AF) Cartridge, 30MM (AF) Cartridge, 40MM (HE) (A) Cartridge, 60MM (A) Cartridge, 60MM (A) Cartridge, 81MM (A) Cartridge, 105MM (HE) (A) Cartridge, 4.2 inch (A) Projectile, 5"/38 Cal (N) Projectile, 5"/54 Cal (N) Projectile, 155MM (HE) (A) Projectile, 175MM (A) Projectile, 8 inch '(A)

CATEGORY IV-LAUNCH VEHICLES, GUIDED MIS-SILES, BALLISTIC MISSILES, ROCKETS, TORPEDOES, BOMBS AND MINES

ASROC (Anti-Submarine Rocket) (N) Bomb, MK-20, Cluster Bomb, Rockeye (N) Bomb, MK-82, 500#, General Purpose (AF) Bomb, MK-83, 1,000#, General Purpose (AF) Bomb, MK-84, 2,000#, General Purpose (AF) Bomb, M-117, 750#, General Purpose (AF) Launcher, TOW (A) Light Anti-Tank Weapon 66MM (LAW) (A) Mine, Naval Warfare (QUICKSTRIKE-MK 62 Mod O, MK 63 Mods O and 1, MK 64 Mods O and 1, MK 65 Mods O and 1) (N) Mine, Naval Warfare, MK-68, Mod O (PRAM)!(N)

Missile, AIM-7, Sparrow (N) (AF) Missile, AIM-9, Sidewinder (N) (AF) Missile, AGM-12, Bullpup (N) Missile, AGM-45, Shrike (N) (AF) Missle, AGM-65A, E/O, Maverick (AF) Missile, Chaparral (A) Missile, Cruise (AF) Missile, Dragon (A) Missile, Harm (N) (AF) Missile, Harpoon (N) Missile, Hawk (A) Missile, Improved Hawk (A) Missile, Lance (A) Missile, Pershing (A) Missile, Phoenix (N) Missile, Redeye (A) Missile, Walleye (N) Missile, Nike Hercules (A) Missile, Roland (A) Missile, Standard Arm (N) (AF) Missile, Standard ER RIM-67A'(N)Missile, Standard MR RIM-65A (N) Missile, Tartar (N)Missile, Terrier (N) Missile, Tomahawk (N) Missile, TOW (A) Missile, M-65 Airborne TOW $_{i}(A)$ Torpedo, MK-46 (N)

Torpedo, MK-48/(N)

Rocket, 2.75 inch_i (A) (AF)

CATEGORY V-PROPELLANTS, EXPLOSIVES AND INCENDIARY AGENTS

Items in this category which meet the dollar criteria for major defense equipment are not significant combat equipment as defined in the U.S. Munitions List.

Change 18, 1 March 1978

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App C-1

MILITARY ASSISTANCE AND SALES MANUAL-PART III

NAVAL EQUIPMENT	A-7 (N)
	A-10 (AI
CC–Guided Missile Cruiser (N)	A-37 (A)
DD-963-Destroyer (SPRUANCE Class) (N)	AH-1 (A AH-1H (
DD—Destroyer (N)	AMST (A
DDG—Guided Missile Destroyer (N)	C⊢5 (AF
FFG—Guided Missile Frigate (N) LCM/LCU/LCVP—Amphibious Landing Craft (N)	<u>C-130 '(A</u> <u>C-14</u> 1 (A CH-47 (A
LPD—Amphibious Transport Dock (N)	E-2C (N E-3A (A
LSD—Dock Landing Ship (N) LST—Tank Landing Ship (N)	E-4 (AF
LKA—Amphibious Cargo Ship (N) LPA-General Purpose Amphibious	EA-6 (N F-4 (A)
Assault Ship (N)	$\mathbf{F}-5$ (AF
MSO-Minesweeper, Ocean (Non-	F-8 (N)
Magnetic) (N)	F-14 (N)
PHM-Patrol Combatant Missile	F-15 (AF
(Hydrofoil) (N)	F–16 (AF
SS—Submarine (Conventionally	F-18 (N)
Powered) (N)	F = 100 (A = 10) (A = 100 (A = 10) (A = 10)

CATEGORY VII-TANKS AND MILITARY VEHICLES

Armored Reconnaissance Airborne Assault Vehicle, M-551 (A) Carrier, Armored Personnel, M-113 (A) Carrier, Command Post, M-577 (A) Carrier, Cargo, M-548 (A) Combat Engineering Vehicle, M-728 (A) Gun, Self-Propelled, 175MM, M-107 (A) Howitzer, Self-Propelled, 8-inch, M-110(A) Howitzer, Self-Propelled, 155MM, M-109 (A) Howitzer, Med, Towed, 155MM, M-198 (A) Mechanized Infantry Combat Vehicle. XM - 723 (A) Tank, M-48 Series (A) Tank, M-60 Series (A) Tank, XM-1 (A) Vehicle, Recovery, M-88A1 (A) Vehicle, Amphibious, LVTP (A) Vulcan Air Defense System(A)

CATEGORY VIII-AIRCRAFT, SPACECRAFT, AND ASSOCIATED EQUIPMENT

A-4 (N) A-6 (N)

App C-2

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(AF)(AF) (A) H (A) (AF) AF) (AF) (AF) (A) (N) (AF) AF) (N) A) (AF) AF) N) **N**) AF) AF) \mathbf{N} (AF) **F-101** (AF) F-102 (AF) F-104 (AF) F-106 (AF) **F-111** (AF) H-3 (N) (AF) H_{-46} (N) H-53 (N)/(AF)H-65 (N) Lamps Mark III⁺(N) OV-1 (A) OV-10 (N) P-3 (N) S_{-2} (N) S-3 (Ň) S-65 (N) SH-2D/F (Lamps I) (N) T-2 (N) T-33 (AF) T-37 (AF) UH-1H(A)UH-1N (A)(N) YUH-60/61 (UTTAS)|(A)

Engines

F-100 (AF) F-401 (N)

Change 18, 1 March 1978

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F-404 (N) J-47 (AF) J_{-52} (N) : J-57 (N)(AF) **J_79** (AF) **J_85** (AF) **P-100** (AF) TF-30 (N) (AF) TF-34 (N) (AF) TF-39 (N) TF-41 (N) (AF) T-53 (A) (N) (AF) T_{-55} (A) T_{-56} (N) (AF) T-58 (N) (AF) T_{-64} (A) (N) (AF) T = 700 (A)

CATEGORY IX-MILITARY TRAINING EQUIPMENT

No items in this category are defined in the U.S. Munitions List as significant combat equipment.

CATEGORY X-PROTECTIVE PERSONNEL EQUIP-MENT

No items in this category are defined in the U.S. Munitions List as significant combat equipment.

CATEGORY XI-MILITARY AND SPACE ELECTRON-

Air Weapons Control System, 412L (AF) <u>AN/TTC-39</u> (A) Backup Interceptor Control, 416M /(AF) <u>BEACON, AN/TRN-26</u> (AF) Combat Operations Center, 425L /(AF) Combat Operations Center, 427L (AF) DOD AIMS, 499L /(N) ECM, ALQ-94 (N) (AF) ECM, ALQ-119 (AF) ECM, AN/SLQ-32 (v)1, (v)2 (N) Radar, AM-389FPS '(AF) Radar, AN/MPS-11 (AF) Radar, AN/TPS-43 (AF) Radio, AN/ARA-54 (N) Radio, AN/VRC-12 (A) Single Channel Ground and Airborne System (SINGARS) TACAN, AN/TRN-26 (AF) Tactical Air Control System, 417L (AF) Tactical Air Control System, 485L (AF)

CATEGORY XII-FIRE CONTROL, RANGE FINDER, OPTICAL AND GUIDANCE AND CONTROL EQUIPMENT

Computer, Fire Control MK1A (N) Close In Weapon System (CIWS), PHALANX (N) Director, Fire Control, MK 51-2 ((N) Goggles, Night Vision, AN/PVS-5 (A) Gunsight, MK-14 (N) Gunsight, MK-15 (N) Joint Tactical Information Distribution System (JTIDS) (AF) Over-the-Horizon Backscatter Radar (AF) Radar, AN/TPQ—36 (A) Radar, AN/TPQ-37 (A) Radar, APG_{63} (AF) Radar, Fire Control, MK-25 (N) System, Fire Control, MK-68 (N) System, Fire Control, MK-86 (N) System, Fire Control, MK-105[(N) System, Missile Fire Control, MK-76 (N) System, Fire Direction, AN/GSS-10(V)(A) System, Gun Fire Control, MK-56 & 63 (N) Tactical Communications Control Facility (TRI-TAC) (AF) Tactical Operations System (TOS) (A) Target Designator AN/AVQ-26 (AF) TSEC/KY 57 (A)

CATEGORY XIII-AUXILIARY MILITARY EQUIP-MENT

No items in this category are defined in the U.S. Munitions List as significant combat equipment.

Change 18, 1 March 1978

App C-3